# Foundations of Query Languages

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## A legitimate question

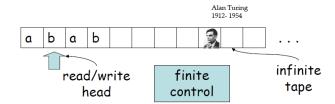
Given a query Q in RA, is there at all adatabase D such that  $Q(D) \neq \emptyset$ ?

- If there is no such database, then the query *Q* makes no sense and we can directly replace it by the empty result.
- Could save much runtime. Also in the case of subquerie

Today we will show from first principles that this problem is undecidable.

# Turing Machine

General model of computation: Turing Machine



# Turing Machine

Turing Machine:

$$(Q, \Sigma, \Gamma, \sigma, q_{start}, q_{accept}, q_{reject})$$

Q: set of states  $(q_{start}, q_1, ..., q_n, q_{accept}, q_{reject})$ 

 $\Sigma$ : input alphabet ( $\{0,1\}$  suffices)

 $\Gamma$ : tape alphabet ( $\Sigma \subseteq \Gamma$ ), e.g.  $\{0, 1\#, t\}$ 

 $\sigma: Q \times \Gamma \to Q \times \Gamma \times \{L, R, -\}$  transition function

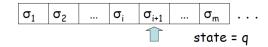
 $q_{start} \in Q$ : start state

 $q_{accept} \in Q$ : accept state (could also be a state set)

 $q_{reject} \in Q$ : reject state (could also be a state set)

## TM Configurations

Useful convention: Turing Machine configurations.



Any point in computation represented by string:

$$C = \sigma_1 \ \sigma_2 \ \dots \ \sigma_i \ q \ \sigma_{i+1} \ \sigma_{i+1} \dots \sigma_m$$

start configuration for single-tape TM on input x:

$$q_{start} x_1 x_2 \dots x_n$$

## Turing Machine

Three notions of computation with Turing machines. In all, input  $\boldsymbol{x}$  written on tape

- function computation: output f(x) is left on the tape when TM halts
- language decision: TM halts in state  $q_{accept}$  if  $x \in L$ ; TM halts in state  $q_{reject}$  if  $x \notin L$ .
- language acceptance: TM halts in state  $q_{accept}$  if  $x \in L$ ; may loop forever otherwise.

# Turing Machine Example

q	σ	δ (q, σ)
start	0	(start, 0, R)
start	1	(start, 1, R)
start	⊔	$(t, \sqcup, L)$
start	#	(start, #, R)

q	σ	δ(q, σ)
t	0	(accept, 1, -)
t	1	(t, 0, L)
t	#	(accept, #, R)

# 0 1	start
# 0 1	start
# 0 1	start
# 0 1	start
# 0 1	t
# 0 0	t
# 1 0	accep

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## **Extended Church Turing Thesis**

Consequence of extended Church-Turing Thesis: all reasonable physically realizable models of computation can be efficiently simulated by a TM

- e.g. multi-tape vs. single tape TM
- e.g. RAM model

# Turing Machine

There exist (natural) undecidable problems

$$HALT = \{(M, x) : Mhaltsoninputx\}$$

#### Theorem

HALT is undecidable, even for single-tape TMs.

# Undecidability of Halting

Suppose that TM H(M,x) decides whether M(x) halts.

- Define new TM H' on input M: H'(M)
  - if H(M, M) accepts, then H'(M) loops
  - if H(M, M) rejects, then H'(M) halts
- Consider H' on input H' : H'(H')
  - if H'(H') halts, then H(H', H') rejects, which means H'(H') loops
  - if H'(H') loops, then H(H', H') accepts, which means H'(H') halts, contradiction.

#### Trakhtenbrot's Theorem

#### Trakhtenbrot's Theorem

For every relational vocabulary  $\sigma$  with at least one binary relation symbol, it is undecidable whether an FO sentence  $\phi$  over  $\sigma$  is finitely satisfiable.

- Boris A. Trakhtenbrot: \*1921 Brichevo, Belarus; now at Tel Aviv University.

This theorem does the job. Translated into database terminology, it reads:

### Undecidability of FO Queries

For a database schema  $\sigma$  with at least one binary relation, it is undecidable, whether a Boolean FO or RA query Q over  $\sigma$  is satisfied by at least one database.

#### Proof Idea:

- $\blacksquare$  Define a relational signature  $\sigma$  suitable for encoding finite computations of a TM
- For each specific TM M and input I, transform M into an FO formula  $\phi_{M,I}$  such that for each structure (i.e., database) D over  $\sigma$ , we have  $D \models \phi_{M,I}$  iff M with input I halts.

$$(Q, \Sigma, \Gamma, \sigma, q_{start}, q_{accept}, q_{reject})$$

#### Simplifying assumptions:

- $f \sigma$  may have several unary and binary relations. (We could always encode them into a single binary relation! ightarrow exercise)
- Tape alphabet of  $M: \Gamma = \Sigma = \{0,1\}$ . (Can always be obtained by simple coding tricks, e.g.:  $0 \rightarrow 10$ ;  $1 \rightarrow 01$ ;  $\# \rightarrow 11$ ;  $\# \rightarrow 10$ )

#### Further assumptions:

- The head never moves to the left of the first cell.
- The machine halts iff it enters state q<sub>accept</sub> or state q<sub>reject</sub> and it halts only in these states.
- $\rightarrow$  These two conditions can be enforced by easy modifications of M that preserve 'halting?equivalence'.

$$TM: (Q, \Sigma, \Gamma, \sigma, q_{start}, q_{accept}, q_{reject})$$

Relational signature (database schema):

$$\sigma = \{ \langle, Min(.), T_0(.,.), T_1(.,.), H(.,.), S(.,.) \}$$

With the following intended meaning:

- $\blacksquare$  < is a linear order, as usual, we write x < y instead of < (x, y).
- The elements of this linear order will be used to simulate both time instants and tape position (=cell numbers).
- Min(x) is true for the smallest element of < only.
- $T_0$  and  $T_1$  are tape predicates:  $T_0(p, t)$  indicates that cell number p at time t contains  $0, \ldots$
- H(p, t) indicates that the head at time t is at position p(i.e., at cell number <math>p)
- ullet S(s,t) indicates that at instant t the machine is in state s.

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 $\phi_{M,I}$  is the conjunction of the following sentences:

A sentence stating that < is a linear order and that *Min* contains its minimal element. This has in turn the following conjuncts:

$$\forall x, y (x \neq y \rightarrow (x < y \lor y < x))$$

$$\forall x, y \neg (x < y \land y < x)$$

$$\forall x, y, z (x < y \land y < z \rightarrow x < z)$$

$$\forall x, y (Min(x) \rightarrow (x = y \lor x < y))$$

 $totality \\ antisymmetry + antireflexivity \\ transitivity$ 

A remaining large sentence:

$$\exists s_0, s_1, \ldots, s_k \ (\phi_{states} \land \phi_{rest})$$

where  $s_i$  is a variable representing state i of TM (we assume the TM has k+1 states), and

$$\phi_{states} \equiv \bigwedge_{i \neq j} s_i \neq s_j$$

where  $\phi_{rest}$  further describes the machine behavior as follows.

 $\phi_{rest}$  contains the following conjuncts:

### initial configuration

A formula defining the initial configuration of M with I on its input tape which in turn contains the following conjuncts: Assuming the input string I has length n. Denote its i-th bit by  $b_i$ . Then for each input position  $0 \le i < n$  (we start at 0):

$$\forall p, t \ ((Min(t) \land [p=i]) \rightarrow T_{b_i}(p,t))$$

where [p = i] is an abbreviation for a FO formula stating that p is the i-th element of i

 $\rightarrow$  This describes that at instant 0 the tape contains the input string 1.

### initial configuration (cont.)

$$\forall p, t \ (([p \geq n] \land \mathit{Min}(t)) \rightarrow T_0(p, t))$$

 $\rightarrow$  all other cells contain 0 at time 0.

$$\forall t \; (Min(t) \rightarrow H(t,t))$$

 $\rightarrow$  the head is initially at the start position 0.

$$\forall t(Min(t) \rightarrow S(s_0, t))$$

 $\rightarrow$  the machine is initially in state 0.

#### state formula

in every configuration, each cell of the tape contains exactly one symbol

$$\forall p, t \ ((T_0(p,t) \lor T_1(p,t)) \land (T_0(p,t) \not\equiv T_1(p,t)))$$

### state formula (2)

at any time the machine is in exactly one state

$$\forall t ((\bigvee_{1 \leq i \leq k} S(s_i, t)) \land \bigwedge_{i \neq j} \neg (S(s_i, t) \land S(s_j, t)))$$

### state formula (3)

at any time the head is at exactly one position ( $\rightarrow$  exercise)

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#### state transition

In particular, for each transition tuple of the transition relation  $\sigma$  one formula. For instance, if a transition specifies that when the machine is in state 4 and reads 0 it writes 1, moves to the right and switches to state 6, we will express this as:

$$\forall p, t \ ((H(p,t) \land T_0(p,t) \land S(s_4,t)) \rightarrow \exists p', t'(p'=p+1 \land t'=t+1 \land H(p',t') \land S(s_6,t') \land T_1(p,t') \land \forall r \neq p(T_0(r,t') \equiv T_0(r,t)))$$

### halting condition

We must say that M halts on input I: assume  $q_{accept} = a$  and  $q_{reject} = b$ .

$$\exists t \ (S(s_a,t) \lor S(s_b,t))$$

This completes the description of  $\phi_{M,I}$ . This formula faithfully describes M on Input I, thus M halts on input I if there exists a database D:  $D \models \phi_{M,I}$ . QED

## Further undecidability results

The following problems are undecidable:

- Safety of a FO query (i.e., domain independence).
- Equivalence of two FO (or RA) queries
- Query containment  $Q_1 \subseteq Q_2$ . (Recall that this means:  $\forall DQ_1(D) \subseteq Q_2(D)$ .

## Corollary to Trakhtenbrot's Theorem

For a database schema  $\sigma$  with at least one binary relation, it is undecidable, whether an SQL query Q over  $\sigma$  will produce a non-empty result on at least one database

Thus, there is no algorithm for perfect SQL optimization.